**G. You are required to provide the following information. Provide an estimate of fees/costs that SFERS will incur annually based on the assumptions specified and the services specified in this RFP. Utilize your organization’s schedule or methodology, namely: traditional asset based, account based and transaction charges. State assumptions. Enumerate all charges for Custody and Related Services, Banking Services and Benefit Payment Services.**

**SFERS**

**Custody Services**

|  |  |  |  |
| --- | --- | --- | --- |
| **Account Fees** | **# of Accounts** | **Fees** | **Pro-Forma Cost** |
| **SFERS** |  |  |  |
| **Number of Plans** | 1 DB Plan |  |  |
| **Domestic Equities** |  |  |  |
| Separate Accounts | 14 |  |  |
| Commingled/Line Items | 2 |  |  |
| **International Equities** |  |  |  |
| Separate Accounts | 10 |  |  |
| Commingled/Line Items | 7 |  |  |
| **Domestic Fixed Income** |  |  |  |
| Separate Accounts | 3 |  |  |
| Commingled/Line Items | 15 |  |  |
| **International Fixed-Income** |  |  |  |
| Separate Accounts | 7 |  |  |
| Commingled/Line Items | 1 |  |  |
| **Real Estate** |  |  |  |
| Direct/Separate Accounts REIT Securities | 0 |  |  |
| Commingled/Line Items | 35 |  |  |
| **Private Equity** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 229 |  |  |
| **Cash Accounts** |  |  |  |
| Direct/Separate Accounts | 4 |  |  |
| Commingled/Line Items | 0 |  |  |
| **Transition Accounts** |  |  |  |
| Direct/Separate Accounts | 3 |  |  |
|  |  |  |  |
|  |  |  |  |
| **Custody Fees** | **Corresponding Market Values as of**  **December 31, 2014** | **Fees** | **Pro-Forma Cost** |
| **SFERS** | **19,983,945,277.86** |  |  |
| **Domestic Equities** | **5,397,439,880.51** |  |  |
| Separate Accounts | 4,878,498,052.79 |  |  |
| Commingled/Line Items | 518,941,827.72 |  |  |
| **International Equities** | **5,101,558,073.04** |  |  |
| Separate Accounts | 3,632,854,448.01 |  |  |
| Commingled/Line Items | 1,468,703,625.03 |  |  |
| **Domestic Fixed Income** | **1,828,584,895.41** |  |  |
| Separate Accounts | 653,088,171.92 |  |  |
| Commingled/Line Items | 1,175,496,723.49 |  |  |
| **International Fixed-Income** | **3,083,663,837.21** |  |  |
| Separate Accounts | 3,069,391,123.87 |  |  |
| Commingled/Line Items | 14,272,713.34 |  |  |
| **Real Estate** | **1,628,816,612.47** |  |  |
| Direct/Separate Accounts | 0.00 |  |  |
| Commingled/Line Items | 1,628,816,612.47 |  |  |
| **Private Equity** | **2,383,547,598.27** |  |  |
| Direct/Separate Accounts | 0.00 |  |  |
| Commingled/Line Items | 2,383,547,598.27 |  |  |
| **Cash Accounts** | **353,594,517.52** |  |  |
| Direct/Separate Accounts | 353,594,517.52 |  |  |
| Commingled/Line Items | 0.00 |  |  |
| **Transition Accounts** | **206,739,863.43** |  |  |
| Direct/Separate Accounts | 206,739,863.43 |  |  |
|  |  |  |  |
| **For International Securities** | **Corresponding Market Values in USD as of December 31, 2014** |  |  |
| Argentina | 103,739.58 |  |  |
| Australia | 132,951,776.73 |  |  |
| Austria | 6,911,424.23 |  |  |
| Barbados | 529,321.18 |  |  |
| Belgium | 33,317,073.90 |  |  |
| Bermuda | 64,348,791.16 |  |  |
| Brazil | 79,941,765.80 |  |  |
| British Virgin Islands | 9,050,612.99 |  |  |
| Bulgaria | 717,039.42 |  |  |
| Canada | 155,522,792.57 |  |  |
| Cayman Islands | 522,256,927.00 |  |  |
| Chile | 9,287,231.69 |  |  |
| China – Hong Kong Dollar | 31,611,303.19 |  |  |
| Colombia | 8,986,309.76 |  |  |
| Cyprus | 712,312.69 |  |  |
| Czech Republic | 1,225,656.61 |  |  |
| Denmark | 37,444,044.55 |  |  |
| Euroclear | 22,150,457.07 |  |  |
| European Union | 14,375.31 |  |  |
| Finland | 22,013,451.90 |  |  |
| France | 288,435,948.28 |  |  |
| Germany | 218,784,115.24 |  |  |
| Greece | 6,737,448.77 |  |  |
| Guernsey, Channel Islands | 4,733,533.29 |  |  |
| Hong Kong | 174,386,805.62 |  |  |
| Hungary | 706,812.13 |  |  |
| Iceland | 1,338,725.02 |  |  |
| India | 44,312,352.29 |  |  |
| Indonesia | 25,675,768.38 |  |  |
| Ireland | 87,377,396.61 |  |  |
| Isle of Man | 3,538,760.63 |  |  |
| Israel | 7,830,236.17 |  |  |
| Italy | 77,566,201.97 |  |  |
| Japan | 606,894,482.70 |  |  |
| Jersey, Channel Islands | 56,386,028.67 |  |  |
| Kazakhstan | 3,534,201.00 |  |  |
| Korea, Republic of | 95,470,473.03 |  |  |
| Liberia | 1,417,393.29 |  |  |
| Luxembourg | 106,334,805.61 |  |  |
| Malaysia | 30,545,811.66 |  |  |
| Marshall Islands | 530,182.40 |  |  |
| Mauritius | 592,041.75 |  |  |
| Mexico | 53,129,760.09 |  |  |
| Netherlands | 211,502,495.17 |  |  |
| Netherlands Antilles | 25,807,094.22 |  |  |
| New Zealand | 11,851,960.63 |  |  |
| Nigeria | 1,369,706.11 |  |  |
| Norway | 19,330,787.83 |  |  |
| Panama | 13,134,974.28 |  |  |
| Papua New Guinea (Independent State of) | 7,649,032.91 |  |  |
| Paraguay | 213,747.22 |  |  |
| Peru | 3,891,803.19 |  |  |
| Philippines | 11,211,054.79 |  |  |
| Poland | 13,632,841.67 |  |  |
| Portugal | 1,510,786.82 |  |  |
| Puerto Rico | 362,564.40 |  |  |
| Qatar | 8,371,923.19 |  |  |
| Romania | 2,209,275.87 |  |  |
| Russian Federation | 10,990,700.28 |  |  |
| Singapore | 37,623,892.81 |  |  |
| South Africa | 36,736,962.58 |  |  |
| Spain | 67,655,584.99 |  |  |
| Sweden | 99,316,046.84 |  |  |
| Switzerland | 267,204,316.73 |  |  |
| Taiwan | 58,896,911.61 |  |  |
| Thailand | 14,593,424.87 |  |  |
| Turkey | 29,449,150.34 |  |  |
| United Arab Emirates | 6,011,559.58 |  |  |
| United Kingdom | 833,102,736.09 |  |  |
|  |  |  |  |
| **Transactions** | **Annual Volume** | **Fees** | **Pro-Forma Cost** |
| **SFERS** |  |  |  |
| Domestic Depository Trades (DTC/Fed Book) | 31,491 |  |  |
| Domestic Non-Depository Trades | 1,993 |  |  |
| Physicals | 5 |  |  |
| Principal Payments | 6,361 |  |  |
| Maturities | 1 |  |  |
| Dividend/Income Receipts | 27,370 |  |  |
| Tax Reclaims | 253 |  |  |
| Wires | 13 |  |  |
| Capital Calls | 1,262 |  |  |
| Options | 141 |  |  |
| Futures: |  |  |  |
| Derivatives Commissions | 1,153 |  |  |
| Derivatives Deliveries | 1,148 |  |  |
| Derivatives Receipts | 1,148 |  |  |
| Mark-to-Markets | 4,006 |  |  |
| Swaps | 170 |  |  |
| 3rd Party FX | 9,356 |  |  |
| Custody FX Trades | 3,969 |  |  |
| Proxy Notification | 5,060 |  |  |
| Corporate Actions (Splits, Voluntary/Involuntary) | 1,355 |  |  |
| Class Action Filings | 105 |  |  |
|  |  |  |  |
| Other International Transactions -- |  |  |  |
| Australia | 447 |  |  |
| Austria | 70 |  |  |
| Belgium | 88 |  |  |
| Brazil | 264 |  |  |
| Canada | 163 |  |  |
| Chile | 31 |  |  |
| Colombia | 24 |  |  |
| Czech Republic | 5 |  |  |
| Denmark | 82 |  |  |
| Euroclear | 719 |  |  |
| Finland | 147 |  |  |
| France | 740 |  |  |
| Germany | 427 |  |  |
| Greece | 47 |  |  |
| Hong Kong | 1,440 |  |  |
| Indonesia | 175 |  |  |
| Israel | 6 |  |  |
| Italy | 80 |  |  |
| Japan | 1,551 |  |  |
| Korea, Republic of | 334 |  |  |
| Malaysia | 155 |  |  |
| Mexico | 204 |  |  |
| Netherlands | 132 |  |  |
| New Zealand | 7 |  |  |
| Nigeria | 8 |  |  |
| Norway | 53 |  |  |
| Philippines | 16 |  |  |
| Poland | 24 |  |  |
| Portugal | 57 |  |  |
| Qatar | 26 |  |  |
| Romania | 8 |  |  |
| Russian Federation | 2 |  |  |
| Singapore | 418 |  |  |
| South Africa | 129 |  |  |
| Spain | 207 |  |  |
| Sweden | 192 |  |  |
| Switzerland | 394 |  |  |
| Taiwan | 218 |  |  |
| Thailand | 111 |  |  |
| Turkey | 86 |  |  |
| United Kingdom | 1,573 |  |  |
|  |  |  |  |
| **Others: (Enumerate)** |  |  |  |
| **Global Custody – Support**  Power of Attorney  Global Tax Agent  Country Registration  Tax-Exempt Filing  Market Guide |  |  |  |
| **On-line or Internet Access**  User Interface  Subscription  Assets/Holding  Cash  Transactions  Standard reports  Customized reporting  Executive/Board reporting  Income Inquiry Reports  Corporate Actions Reporting  Terminal charge  Communication software  CPU connect time  Others: (specify) |  |  |  |
| **Contractual Settlement and Auto Credit**  Domestic  International  ADRs |  |  |  |
| **Transition & Conversion**  Reregistration  Scrip Fees  Etc. |  |  |  |
| **Penalty Costs**  Third Party FX  Third Party Seclending  Etc. |  |  |  |
| **Out of Pocket**  Wire Transfer  Courier Service  Telex Charges  Computer processing  Staff Training  Stamp Duty  Reregistration  Others: |  |  |  |
| **Corporate Actions**  Voluntary/Mandatory  Involuntary |  |  |  |
| **Proxy Notification**  Reporting  On-line Access  Others |  |  |  |
| **Class Action**  Reporting  On-line Access  Legal Filings  Others: |  |  |  |
| **Investment Guideline Compliance**  Basic  Intermediate  Advance  (See below accounts) |  |  |  |
| **Domestic Equities** |  |  |  |
| Separate Accounts | 14 |  |  |
| Commingled/Line Items | 2 |  |  |
| **International Equities** |  |  |  |
| Separate Accounts | 10 |  |  |
| Commingled/Line Items | 7 |  |  |
| **Domestic Fixed Income** |  |  |  |
| Separate Accounts | 3 |  |  |
| Commingled/Line Items | 15 |  |  |
| **International Fixed-Income** |  |  |  |
| Separate Accounts | 7 |  |  |
| Commingled/Line Items | 1 |  |  |
| **Real Estate** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 35 |  |  |
| **Private Equity** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 229 |  |  |
| **Cash Accounts** |  |  |  |
| Direct/Separate Accounts | 4 |  |  |
| Commingled/Line Items | 0 |  |  |
| **Transition Accounts** |  |  |  |
| Direct/Separate Accounts | 3 |  |  |
|  |  |  |  |
|  |  |  |  |
| **Independent Derivatives Processing and Valuation**  OTC:  Reporting  Pricing  Processing  Collateral Management  Non-OTC:  Reporting  Pricing  Processing  Collateral Management  FX Overlay:  Reporting  Pricing  Processing  Collateral Management |  |  |  |
| **Performance Measurement**  Monthly Return Calcs  Historical Data Download  Gross/Net of Fees  Lagged and Non-Lagged  IRR  Time Weighted  Portfolio Characteristics  Peer Comparison  Portfolio Attribution  (see below accounts) |  |  |  |
| **Domestic Equities** |  |  |  |
| Separate Accounts | 14 |  |  |
| Commingled/Line Items | 2 |  |  |
| **International Equities** |  |  |  |
| Separate Accounts | 10 |  |  |
| Commingled/Line Items | 7 |  |  |
| **Domestic Fixed Income** |  |  |  |
| Separate Accounts | 3 |  |  |
| Commingled/Line Items | 15 |  |  |
| **International Fixed-Income** |  |  |  |
| Separate Accounts | 7 |  |  |
| Commingled/Line Items | 1 |  |  |
| **Real Estate** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 35 |  |  |
| **Private Equity** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 229 |  |  |
| **Cash Accounts** |  |  |  |
| Direct/Separate Accounts | 4 |  |  |
| Commingled/Line Items | 0 |  |  |
| **Transition Accounts** |  |  |  |
| Direct/Separate Accounts | 3 |  |  |
|  |  |  |  |
|  |  |  |  |
| **Advanced Risk Analytics**  VaR Testing  Stress Testing  Scenario Testing  Etc.  (see accounts below) |  |  |  |
| **Domestic Equities** |  |  |  |
| Separate Accounts | 14 |  |  |
| Commingled/Line Items | 2 |  |  |
| **International Equities** |  |  |  |
| Separate Accounts | 10 |  |  |
| Commingled/Line Items | 7 |  |  |
| **Domestic Fixed Income** |  |  |  |
| Separate Accounts | 3 |  |  |
| Commingled/Line Items | 15 |  |  |
| **International Fixed-Income** |  |  |  |
| Separate Accounts | 7 |  |  |
| Commingled/Line Items | 1 |  |  |
| **Real Estate** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 35 |  |  |
| Non-USD Line Item |  |  |  |
| **Private Equity** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 229 |  |  |
| **Cash Accounts** |  |  |  |
| Direct/Separate Accounts | 4 |  |  |
| Commingled/Line Items | 0 |  |  |
| **Transition Accounts** |  |  |  |
| Direct/Separate Accounts | 3 |  |  |
|  |  |  |  |
|  |  |  |  |
| **Data Interface with 3rd Party Providers**  Fund Consultants  Actuary  External Auditors  Eagle Systems  Nottingham  Class Action Agent  Tax Reclaim Agent  Proxy Voting Agent  Others: |  |  |  |
|  |  |  |  |
| **Vaulting Services**  Specify – |  |  |  |
| **Corporate Governance Tools**  Specify – |  |  |  |
| **Look Thru Analysis**  **(see accounts below)** |  |  |  |
| **Domestic Equities** |  |  |  |
| Commingled/Line Items | 2 |  |  |
| **International Equities** |  |  |  |
| Commingled/Line Items | 7 |  |  |
| **Domestic Fixed Income** |  |  |  |
| Commingled/Line Items | 15 |  |  |
| **International Fixed-Income** |  |  |  |
| Commingled/Line Items | 1 |  |  |
| **Real Estate** |  |  |  |
| Commingled/Line Items | 35 |  |  |
| **Private Equity** |  |  |  |
| Commingled/Line Items | 229 |  |  |
| **Cash Accounts** |  |  |  |
| Commingled/Line Items | 0 |  |  |
|  |  |  |  |
|  |  |  |  |
| **Alternative Investment Support**  Basic Line Item  Reporting/Monitoring  Full Drill Down  Full Outsource  Shadow Accounting  Distributions Mgmt.  Capital Call Mgmt.  Document Mgmt.  Others:  (see accounts below) |  |  |  |
| **Real Estate** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 35 |  |  |
| **Private Equity** |  |  |  |
| Direct/Separate Accounts | 0 |  |  |
| Commingled/Line Items | 229 |  |  |
|  |  |  |  |
| **Document Management and Library Maintenance**  Specify- |  |  |  |
| **Trade Order Management System – Equity and Fixed Income**  Specify-  Module  Compliance  Wire and Movement  Affirmation  Others: \_\_\_\_ |  |  |  |